

PlantShield: An Automated Response Tool for Critical Infrastructures

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Abstract—Cyber physical attacks on an Industrial Control System in a critical infrastructure could disrupt national economy and have far-reaching societal impacts globally. While anomaly detectors are useful in defending against cyberattacks, they fall short in the face of ransomware. During such attacks, engineers often experience partial system visibility, hindering their ability to manually control the plant. This impairment escalates risks to higher management levels. Additionally, the uncertainty of whether the adversary can control the plant or not is merely attacking the business logic that can prompt management to take drastic measures, such as shutting down the plant, which in turn affects dependent systems. This paper addresses these critical issues and proposes a solution. The proposed solution helps gain back system control when under an attack. Even when the attacker has gained access to plant controls, they cannot damage plant components. A version of the solution has been implemented in an operational water treatment plant and validated against typical cyber physical attacks.

Keywords: Cyber physical attacks, critical infrastructures, Industrial Control System, water treatment plant

1. Introduction

Cyber-Physical Systems (CPS) encompass systems operating within both the cyber and physical domains. Examples include Intelligent Transportation Systems, Water Treatment and Distribution Systems, automobiles, pacemakers, and the Power Grid. CPS integrates computing, networking, and physical processes to create

tangible impacts in the physical world^[1]. Computers monitor, control, and integrate these physical processes, with the intelligence in the cyber domain determining the actions based on the system's state. This integration facilitates automation, control, and quality assurance, reducing the need for human intervention. Consequently, cybersecurity becomes crucial for CPS, as Critical Infrastructure (CI) rely on this model. The security of CPS involves more complexities than traditional networked Cyber Systems. A secure CPS has at least the following requirements

- Confidentiality,
- Integrity,
- Availability, and
- Authenticity.

The risk extends beyond data and services to include physical and biological threats to individuals impacted by the CPS. Since adversaries can physically attack the system's processes, defense mechanisms must also account for physical security.

2. Cyber Physical Attacks and Critical Infrastructures

2.1. What are Cyber Physical Attacks

Due to distribution of physical processes and communications, increased network connectivity, and technology readiness, contemporary National Infrastructures face the following threats^[2]:

- Cyberattacks – an attack exclusively in the cyber domain, where confidentiality, integrity, and availability are compromised.

- Physical attacks – attacks purely in the physical domain.
- Cyber physical attacks – attacks in the cyber domain with consequences in the physical domain such as unauthorized actuation, espionage, and delayed actuation.
- Physical cyberattacks – attacks on the physical domain with consequences in the cyber domain.

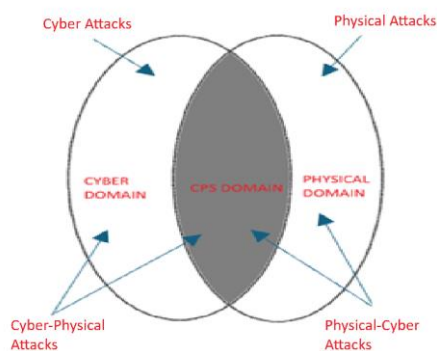


Fig 2.1 Cyber-Physical Convergence

2.2. How Cyber Physical Attacks affect Critical Infrastructures

The CIA triad is a fundamental concept in information security, representing three core principles: Confidentiality, Integrity, and Availability. Compromising any of these element results in cyber impacts as well as physical impacts. This may include:

- Gaining credentials allows an attacker to circumvent authorization mechanisms.
- Interfering with communication can render the system unavailable.
- Problems like delayed and unauthorized actuation.

Cyber-physical attacks^[6] on critical infrastructure can have severe and far-reaching impact. These attacks target the digital systems that control physical processes, leading to disruptions in essential services and potential physical damage. Here are some key effects:

1. **Service Disruptions:** Attacks can lead to blackouts, water supply interruptions, and transportation system failures. For instance, the 2021 Colonial Pipeline attack caused fuel shortages throughout the Eastern United States.

2. **Economic Impact:** These disruptions can result in significant economic losses.

Other significant effects include National Security Threats, physical damage to CI. Therefore, there is an increasing emphasis on enhancing the security of these systems to mitigate these risks.

2.3 Secure Water Treatment Testbed

The Secure Water Treatment (SWaT) testbed, used in our research, consists of a six-stage process. The process begins by taking in raw water, adding necessary chemicals, filtering it using an ultrafiltration (UF) system, dechlorinating it via ultraviolet lamps, and feeding it to a reverse osmosis (RO) system. A backwash process cleans the membranes in the UF system using the rejected water from the RO system. The cyber portion of the SWaT testbed consists of a layered communications network, programmable logic controllers (PLCs), human-machine interfaces (HMIs) (as shown in Figure 6), supervisory control and data acquisition (SCADA) workstation, and a historian system^{[3][5]}

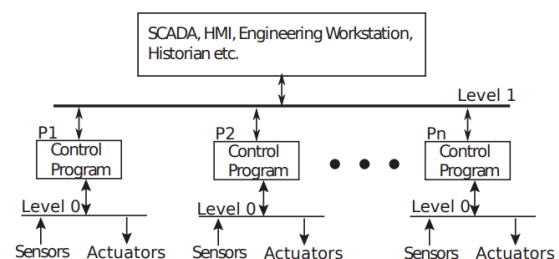


Fig 2.3.1 Architecture of the control portion of a CPS

3. Proposed Method

3.1. PlantShield

PlantShield is a software-hardware subsystem designed to monitor and control the security, safety, and service of an Industrial Control System (ICS) or critical cyber-physical infrastructure. PlantShield is built as a prototype for new “secured by design” critical infrastructure. A version of PlantShield has been demonstrated in the SWaT. In conjunction with other tools and cyber twins, PlantShield can be used for training, teleoperation, automatic incidence response, and visual forensics to assist the cyber responder in all stages of the National Institute of Standards and Technology

(NIST) Cybersecurity Framework which are Identify, Protect, Detect, Respond and Recover.

3.2 Working of PlantShield

The current version of PlantShield has been built using a Raspberry Pi 4 Model B computer. It connects to PLC and RIO through ethernet ports on the Raspberry Pi and PLC. Hence, any communication between PLC and RIO can be intercepted with the help of the Pi. Plant Shield has works in three key steps listed next.

- 1) **State Validation:** In this step, the code hosted in PI validates the current state of the actuator, e.g., a pump, against what it should be. If the states observed and expected match, validation is considered successful and not otherwise.
- 2) **Command Correction:** When validation fails, the Pi generates the correct command to be issued to the actuator.
- 3) **Command Transmission:** The corrected command is then transmitted. The PI captures the incoming Digital Output (DO) packet from the PLC, replaces the incorrect DO command with the correct one, and transmits it to the RIO.

Thus, the actuator remains in good state despite the attack that resulted in a “bad command” sent by the PLC to the actuator.

4. Experiments and Result

The following experiments demonstrate the effectiveness of PlantShield in different scenarios for stage 1 of SWaT.

Experiment 1: Under Normal Conditions, i.e., the plant is running with no attack.

Result: As we can see from Fig 4.1, no invalid commands were reported by PlantShield. It was tested at random intervals.

Experiment 2: Spoofing Attack on Tank Level

A spoofing attack was launched on level sensor LIT101 for tank T101. This caused LIT101 to be set to a LOW level on SCADA despite actually being HIGH. This resulted in opening of valve MV101 which is responsible for filling water in T101. If the attack were allowed to continue, water in T101 would overflow. PlantShield recognizes from

level zero network data that MV101 should be closed and sends the correct command to do so.

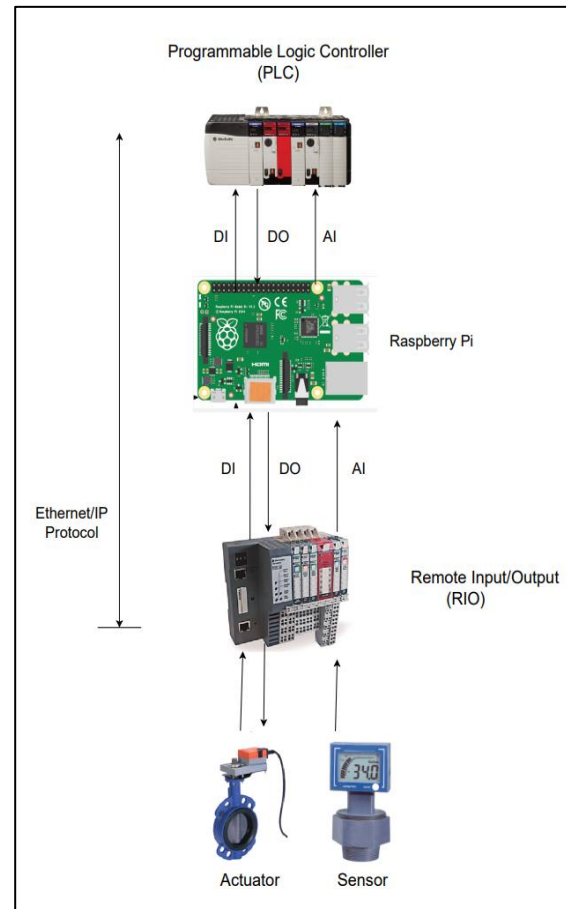


Fig. 3.2.1 Communication of PlantShield (programmed in RPi) with PLC and RIO

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PLANT PROTECT LOADED
Collecting L0 Data
0) LIT101 is 915.806043322 LIT301 is 851.063829787 P101 is 0 MV101 is 0 MV201 is 0
1) LIT101 is 915.806043322 LIT301 is 851.063829787 P101 is 0 MV101 is 0 MV201 is 0
2) LIT101 is 915.806043322 LIT301 is 851.063829787 P101 is 0 MV101 is 0 MV201 is 0
3) LIT101 is 916.120065368 LIT301 is 851.063829787 P101 is 0 MV101 is 0 MV201 is 0
4) LIT101 is 916.120065368 LIT301 is 851.063829787 P101 is 0 MV101 is 0 MV201 is 0
5) LIT101 is 916.120065368 LIT301 is 851.664637272 P101 is 0 MV101 is 0 MV201 is 0
6) LIT101 is 915.570526788 LIT301 is 851.945014099 P101 is 0 MV101 is 0 MV201 is 0
7) LIT101 is 915.570526788 LIT301 is 851.945014099 P101 is 0 MV101 is 0 MV201 is 0
8) LIT101 is 915.020988208 LIT301 is 851.945014099 P101 is 0 MV101 is 0 MV201 is 0
9) LIT101 is 915.020988208 LIT301 is 852.145283261 P101 is 0 MV101 is 0 MV201 is 0
10) LIT101 is 915.177999231 LIT301 is 852.145283261 P101 is 0 MV101 is 0 MV201 is 0
11) LIT101 is 915.177999231 LIT301 is 852.145283261 P101 is 0 MV101 is 0 MV201 is 0
12) LIT101 is 914.628460651 LIT301 is 852.145283261 P101 is 0 MV101 is 0 MV201 is 0
13) LIT101 is 914.628460651 LIT301 is 852.145283261 P101 is 0 MV101 is 0 MV201 is 0
14) LIT101 is 914.628460651 LIT301 is 852.065175596 P101 is 0 MV101 is 0 MV201 is 0
15) LIT101 is 914.628460651 LIT301 is 851.344206614 P101 is 0 MV101 is 0 MV201 is 0
16) LIT101 is 914.628460651 LIT301 is 851.344206614 P101 is 0 MV101 is 0 MV201 is 0
17) LIT101 is 914.432196873 LIT301 is 851.344206614 P101 is 0 MV101 is 0 MV201 is 0
18) LIT101 is 914.432196873 LIT301 is 851.344206614 P101 is 0 MV101 is 0 MV201 is 0
19) LIT101 is 914.432196873 LIT301 is 851.344206614 P101 is 0 MV101 is 0 MV201 is 0

Starting Validation
NO change in LIT101
NO change in LIT301

Current state of P101 is 0
Correct state should be 0
Current state of MV101 is 0
Correct state of MV101 is 0

Command Valid For P101
Command Valid for MV101

No suspicious activity observed.
Data Collection to start in 5 seconds!

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Fig 4.1 Experiment 1 Result

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PLANT PROTECT LOADED
Collecting L8 Data
0) LIT101 is 955.01954627 LIT301 is 926.845680595 P101 is 1 MV101 is 0 MV201 is 1
1) LIT101 is 953.7634458088 LIT301 is 927.696703409 P101 is 1 MV101 is 0 MV201 is 1
2) LIT101 is 952.664380928 LIT301 is 928.608049218 P101 is 1 MV101 is 0 MV201 is 1
3) LIT101 is 951.408292745 LIT301 is 929.609395027 P101 is 1 MV101 is 0 MV201 is 1
4) LIT101 is 951.329787234 LIT301 is 931.892463471 P101 is 1 MV101 is 0 MV201 is 1
5) LIT101 is 951.329787234 LIT301 is 932.493270956 P101 is 1 MV101 is 0 MV201 is 1
6) LIT101 is 948.346577801 LIT301 is 934.175531915 P101 is 1 MV101 is 0 MV201 is 1
7) LIT101 is 946.580203794 LIT301 is 935.557523712 P101 is 1 MV101 is 0 MV201 is 1
8) LIT101 is 945.206357344 LIT301 is 936.779031018 P101 is 1 MV101 is 1 MV201 is 1
9) LIT101 is 944.185785696 LIT301 is 937.740322994 P101 is 1 MV101 is 1 MV201 is 1
10) LIT101 is 943.400730582 LIT301 is 939.142207126 P101 is 1 MV101 is 1 MV201 is 1
11) LIT101 is 942.419411689 LIT301 is 940.182606767 P101 is 1 MV101 is 1 MV201 is 1
12) LIT101 is 941.516598308 LIT301 is 941.705652397 P101 is 1 MV101 is 1 MV201 is 1
13) LIT101 is 940.849301461 LIT301 is 942.506729044 P101 is 1 MV101 is 1 MV201 is 1
14) LIT101 is 940.024993591 LIT301 is 943.307805691 P101 is 1 MV101 is 1 MV201 is 1
15) LIT101 is 940.024993591 LIT301 is 943.307805691 P101 is 1 MV101 is 1 MV201 is 1
16) LIT101 is 940.613758427 LIT301 is 945.590874135 P101 is 1 MV101 is 1 MV201 is 1
17) LIT101 is 941.124070751 LIT301 is 947.473404255 P101 is 1 MV101 is 1 MV201 is 1
18) LIT101 is 941.124070751 LIT301 is 948.554857729 P101 is 1 MV101 is 1 MV201 is 1
19) LIT101 is 943.047455781 LIT301 is 949.556203538 P101 is 1 MV101 is 1 MV201 is 1

Starting Validation
Decrease in LIT101
Increase in LIT301

Current state of P101 is 1
Correct state should be 1
Current state of MV101 is 1
Correct state of MV101 is 0

Command Valid for P101
Command Invalid for MV101
Correct State for MV101 is 0

Sending Correct Command in 5 seconds!!!!!!!!!!!!!!

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Fig 4.2 Experiment 2 Result

Experiment 2 Result: As can be seen from output of the PlantShield that MV101 state is 1 (OPEN) and the correct state should be 0 (CLOSED). Hence it sends a CLOSE command during command transmission. (Fig 4.2)

Experiment 3: Attack on an actuator

In this attack P101 was forced to run while valve MV201 was open. If water level in LIT301 is high, it would eventually lead to flooding if P101 is not stopped in time. With PlantShield active, it detected P101 is running when it should not be. Here P101 is in invalid state and the correct command was transmitted by PlantShield before any flooding.

Experiment 3 Result: Plant Shield sends the command to stop P101.(fig 4.3)

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Data Collection to start in 5 seconds!
Collecting L8 Data
0) LIT101 is 925.030408913 LIT301 is 996.090756729 P101 is 1 MV101 is 0 MV201 is 1
1) LIT101 is 923.656504463 LIT301 is 997.260317867 P101 is 1 MV101 is 0 MV201 is 1
2) LIT101 is 922.439759936 LIT301 is 997.709910023 P101 is 1 MV101 is 0 MV201 is 1
3) LIT101 is 920.940151310 LIT301 is 998.704230964 P101 is 1 MV101 is 0 MV201 is 1
4) LIT101 is 920.830394057 LIT301 is 999.736550473 P101 is 1 MV101 is 0 MV201 is 1
5) LIT101 is 919.260205824 LIT301 is 1000.06516270 P101 is 1 MV101 is 0 MV201 is 1
6) LIT101 is 918.907011023 LIT301 is 1001.066080809 P101 is 1 MV101 is 0 MV201 is 1
7) LIT101 is 917.106657016 LIT301 is 1002.007062086 P101 is 1 MV101 is 0 MV201 is 1
8) LIT101 is 917.10130426 LIT301 is 1004.02941553 P101 is 1 MV101 is 0 MV201 is 1
9) LIT101 is 916.041550856 LIT301 is 1004.91859985 P101 is 1 MV101 is 0 MV201 is 1
10) LIT101 is 915.020980208 LIT301 is 1006.03210715 P101 is 1 MV101 is 0 MV201 is 1
11) LIT101 is 914.353691361 LIT301 is 1006.75307613 P101 is 1 MV101 is 0 MV201 is 1
12) LIT101 is 913.215361446 LIT301 is 1007.71436011 P101 is 1 MV101 is 0 MV201 is 1
13) LIT101 is 911.920020908 LIT301 is 1008.3181756 P101 is 1 MV101 is 0 MV201 is 1
14) LIT101 is 910.099408859 LIT301 is 1009.15630608 P101 is 1 MV101 is 0 MV201 is 1
15) LIT101 is 909.32938631 LIT301 is 1009.15630608 P101 is 1 MV101 is 0 MV201 is 1
16) LIT101 is 908.209514227 LIT301 is 1010.47008254 P101 is 1 MV101 is 0 MV201 is 1
17) LIT101 is 908.072209449 LIT301 is 1011.71975135 P101 is 1 MV101 is 0 MV201 is 1
18) LIT101 is 906.730650755 LIT301 is 1012.200505 P101 is 1 MV101 is 0 MV201 is 1
19) LIT101 is 905.63979595 LIT301 is 1013.20180001 P101 is 1 MV101 is 0 MV201 is 1

Starting Validation
Decrease in LIT101
Increase in LIT301

Current state of P101 is 1
Correct state should be 0
Current state of MV101 is 0
Correct state of MV101 is 0

Command Invalid for P101
Correct State for P101 is 0

Command Valid for MV101

Sending Correct Command in 5 seconds!!!!!!!!!!!!!!

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Fig 4.3 Experiment 3 Result

5. Conclusion

PlantShield demonstrated its effectiveness by successfully taking control of the actuators under attack, ensuring the correct commands were sent to maintain system integrity. During normal operations, PlantShield did not detect any anomalies or issue incorrect commands, indicating its reliability in stable conditions. Even amidst an attack, PlantShield occasionally reported valid commands, as the sensor values and plant state remained within acceptable predefined levels, preventing any damage. This resilience highlights the PlantShield's ability to discern between harmful and non-harmful situations. In instances where the plant's state deviated from expected values due to an attack, PlantShield accurately identified the invalid state. It then intervened by sending the correct command to the actuator, thereby mitigating potential risks and ensuring the system's continued safe operation.

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