

# **CODING THEORY FOR RELIABLE SIGNAL PROCESSING**

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# OUTLINE

- Introduction
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  - Localization as Hierarchical Classification
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- Conclusion



## RELIABLE SIGNAL PROCESSING

- Increased dependence on technology in everyday life
- Need to ensure reliable performance
- Systems can fail due to multiple reasons:
  - presence of a component with permanent failure,
  - a malicious component providing corrupt information, or
  - an unreliable component which randomly provides faulty data.
- Design systems to perform reliably in the presence of such unreliable components.

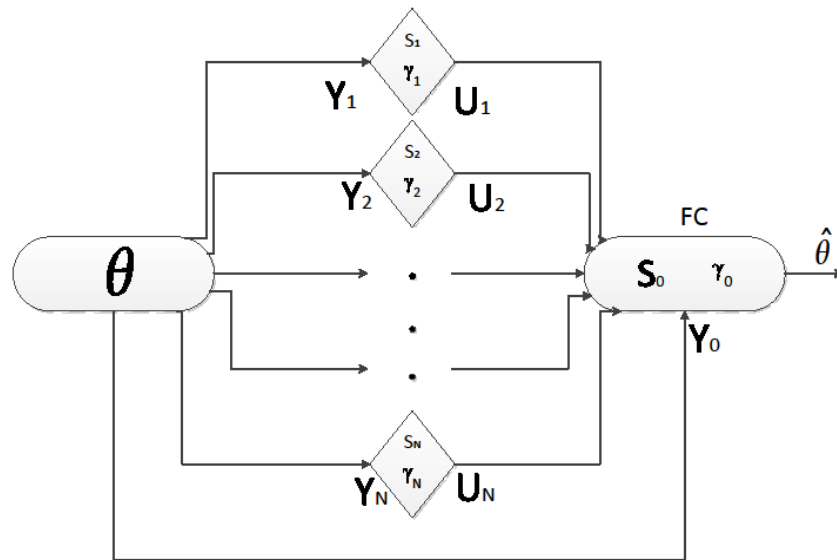


# CODING THEORY

- Coding theory: a possible solution
- Used for error correction in data communication and storage
- More recently applied to field of networked data storage systems
- **Focus:** Application to Distributed Inference Networks



# DISTRIBUTED INFERENCE NETWORKS



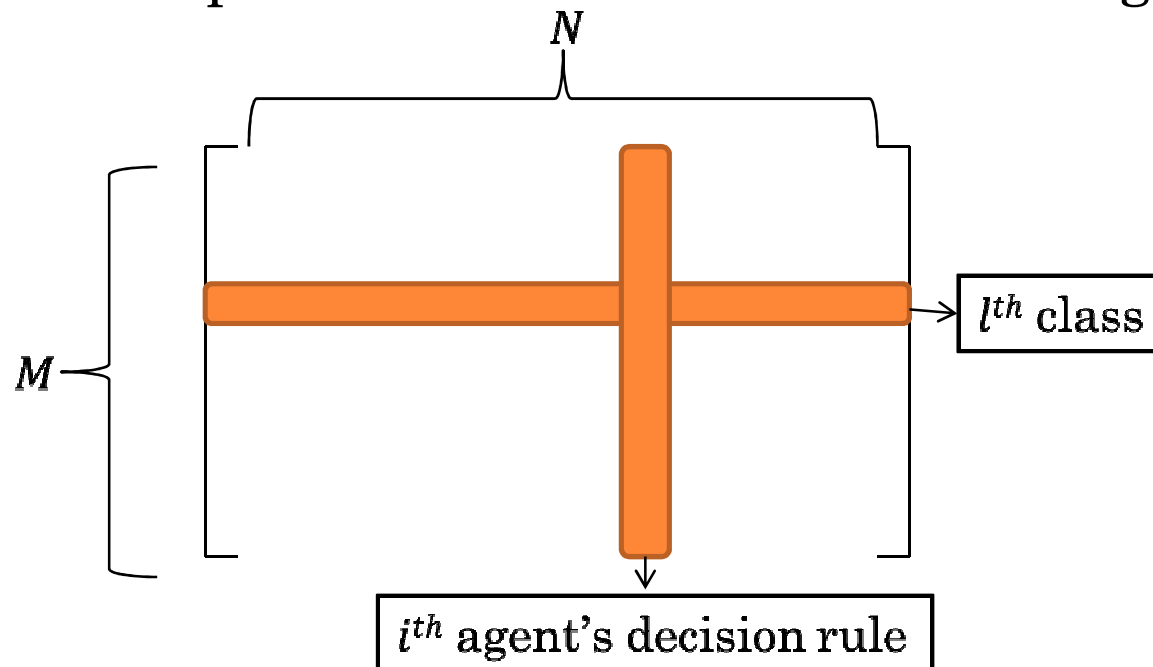
Typical Distributed Inference Network

- Network consisting of local agents make observations
- Send their inference to a central unit called Fusion Center (FC)
- Agents: physical sensors or human decision makers
- FC fuses the data to make a final inference
- Erroneous data from these local agents would result in a degraded performance



## DCFEEC APPROACH (WANG *ET AL.*, 2005)

- Simple idea: Represent the classification problem using a binary code matrix  $C$
- $M$  hypotheses and  $N$  agents:  $C$  is  $M \times N$
- Each row corresponds to one of the different possible hypotheses
- Columns represent the decision rules of the agents



## DCFEEC APPROACH (CONTD..)

- Agent  $i$  sends its binary decision ( $u_i \in \{0,1\}$ ) using the quantization rule corresponding to the  $i^{th}$  column.
- For example, if agent  $i$  decides hypothesis  $H_j$ , it sends binary bit-value corresponding to  $(j, i)^{th}$  element of  $C$ , i.e.,  $u_i = c_{ji}$ .
- FC receives the  $N$ -bit vector,  $u = [u_1, \dots, u_N]$
- Final classification decision using minimum Hamming distance based fusion



## IMPLICATIONS OF DCFECC

- Error-correction property of the code matrix provides the fault-tolerance capability
- Code matrix used for local decision rules as well as for the final classification fusion at the FC
- Code matrix designed to minimize the error probability of classification
- Two heuristic methods for code design (Wang *et al.*, 2005):
  - cyclic column replacement and
  - simulated annealing
- Exact expression characterizing the performance, depends on the application considered



# DISTRIBUTED $M$ -ARY CLASSIFICATION

- T.-Y. Wang, **Y. S. Han**, P. K. Varshney, and P.-N. Chen, “Distributed Fault-Tolerant Classification in Wireless Sensor Networks,” ***IEEE Journal on Selected Areas in Communications (JSAC): special issue on Self-Organizing Distributed Collaborative Sensor Networks***, pp. 724-734, April, 2005.



# WIRELESS SENSOR NETWORKS

- Used in military and civilian application to monitor environment – detection, classification and/or estimation
- Bandwidth and Energy Constraints: Use Quantized data
- Performance depends on local sensor data
- Important to ensure reliable data
- Unreliable data due to faults, imperfect channels, and/or malicious sensors



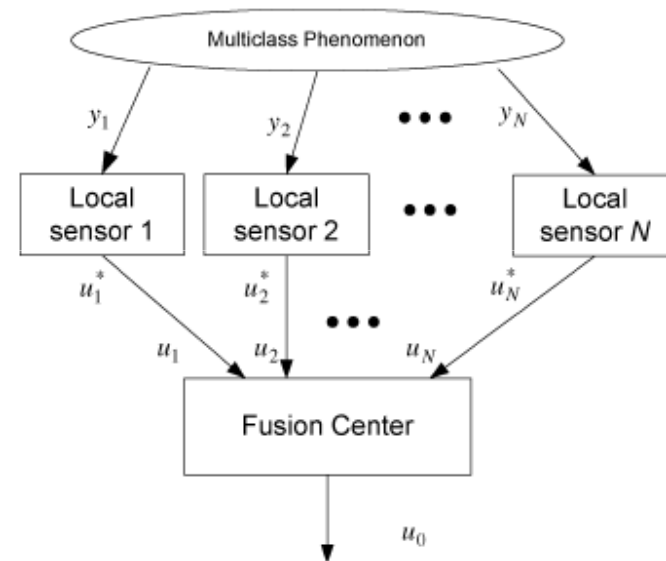
# FAULT-TOLERANT DISTRIBUTED CLASSIFICATION (WANG ET AL., 2005)

- Used for monitoring conditions like seismic activity or temperature
- Existence of faulty sensors deteriorate performance
- Straight-forward application of the DCFECC Approach
- Example:  $C_1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$
- True hypothesis is  $H_0$
- Sensors 2 and 3 are faulty and stuck-at '1'
- Received vector: [1 1 1 0 1 0 1]
- Hamming distances are (2, 4, 5, 3) respectively
- Decide  $H_0$  even with faulty sensors



# FAULT-TOLERANT DISTRIBUTED CLASSIFICATION (CONTD..)

- ③ Expression for error probability derived as a function of the code matrix  $\mathbf{C}$
- Assign costs for misclassification of the proposed fusion rule
- Note that  $u_i^*$  and  $u_i$  may be different because of
  - permanent or transient faults
  - the malicious behavior of sensors
  - channel errors.

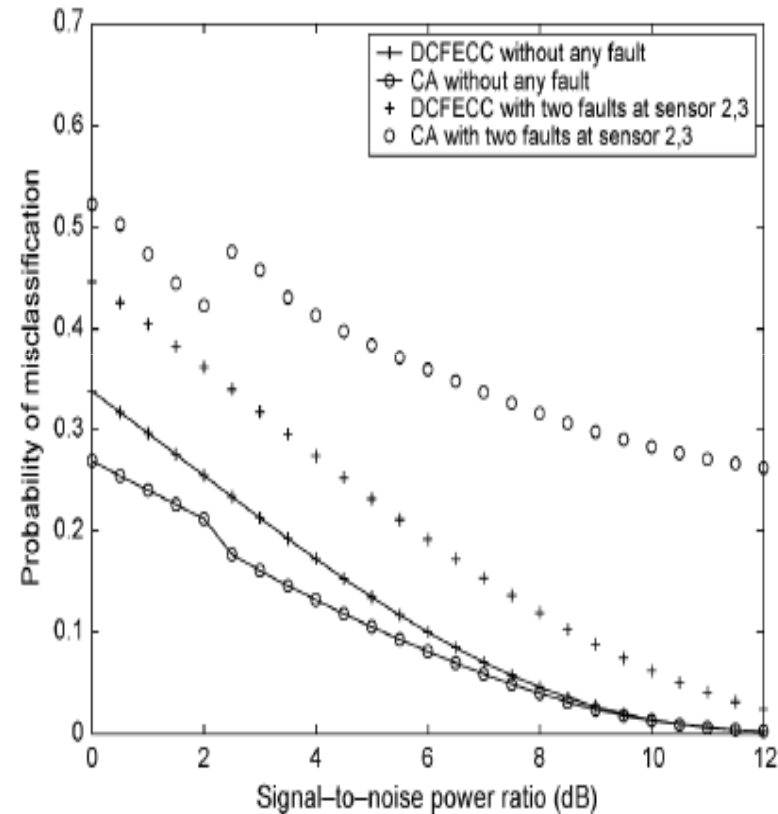


$$\begin{aligned}
 P_e = & \sum_{i_1, \dots, i_N, i_1^*, \dots, i_N^*, l} \int_{y_1, \dots, y_N} P_l P(u_1 = i_1 | u_1^* = i_1^*) \\
 & \times \dots \times P(u_N = i_N | u_N^* = i_N^*) P(u_1^* = i_1^* | y_1) \\
 & \times \dots \times P(u_N^* = i_N^* | y_N) P(y_1, \dots, y_N | H_l) A_{i_1, \dots, i_N}^l
 \end{aligned}$$



# NUMERICAL RESULTS

- $N = 7$  i.i.d. sensors performing a ( $M = 4$ )-ary classification
- Equally probable hypotheses Gaussian distributed hypotheses with different means
- Presence of stuck-at faults ('1') and transmission over ideal channels
- Simulated Annealing:  
 $C_1 = [3, 8, 14, 12, 9, 12, 9]$
- Comparison with Conventional Approach using Chair-Varshney rule (Chair & Varshney, 1986)



## EXTENSIONS

- Distributed Classification using Soft-Decision Decoding (DCSD) approach (Wang *et al.*, 2006):
  - non-ideal channels
  - use soft-decisions at the FC
  - reduce the errors due to channel uncertainties
- DCFECC using non-binary codes (Wang *et al.*, 2005)
- Sub-optimal code design schemes based on error bounds (Yao *et al.*, 2007)



# SECURE TARGET LOCALIZATION

- A. Vempaty, Y. S. Han, and P. K. Varshney, “Target Localization in Wireless Sensor Networks using Error Correcting Codes,” *IEEE Trans. on Information Theory*, pp. 697-712, January, 2014.



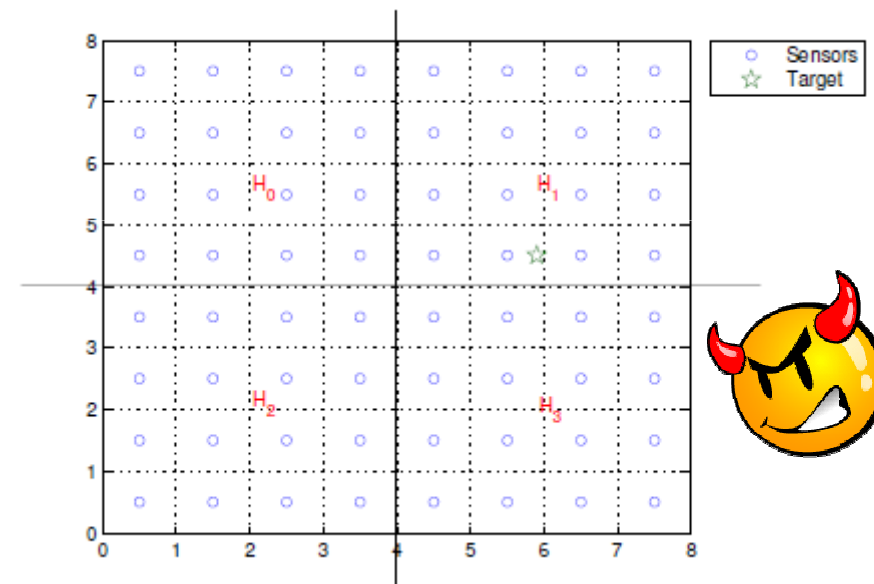
# WIRELESS SENSOR NETWORKS- REVISIT

- Task of target localization (Niu & Varshney, 2006)
- WSNs are prone to malicious attacks from within the network or outside
- Byzantine Attacks (Vempaty *et al.*, 2013):
  - Presence of Byzantine (compromised) nodes in the network
  - Send false information to the Fusion Center (FC)
  - Aim to deteriorate the performance of the inference process at the FC
- Goal:
  - Design energy efficient target localization scheme in WSNs using Error-Correcting codes
  - Tolerant to Byzantine data from the local sensors



# LOCALIZATION AS HIERARCHICAL CLASSIFICATION (VEMPATY ET AL., 2014)

- Target emits power that follows an isotropic power attenuation model
- Local sensor  $i$  uses threshold quantizer ( $\eta_i$ ) on its corrupted observation and decides  $D_i$
- FC receives binary decision vector  $\mathbf{u} = [u_1, \dots, u_N]$
- $u_i$  need not be same as  $D_i$  due to the presence of Byzantine sensors



## LOCALIZATION AS HIERARCHICAL CLASSIFICATION (CONTD..)

- Traditional approach: Maximum-Likelihood Estimator (MLE) based on the received data  $u$
- Computationally very expensive: performs optimization over the entire region of interest (ROI)
- Computationally efficient method: model as hierarchical classification
- Splitting the ROI into  $M$  regions at every iteration and performing an  $M$ -ary classification to decide the ROI for the next iteration
- Classification at every iteration performed using the DCFECC approach
- Error-correction capability of the code matrix provides Byzantine fault-tolerance



## CODE DESIGN FOR THE SCHEME

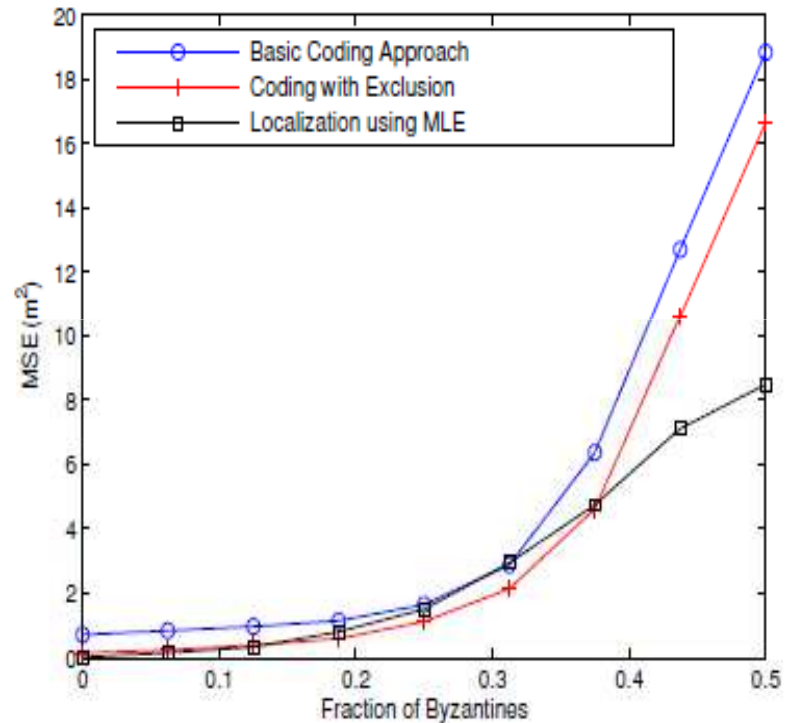
- Scheme is hierarchical, the code matrix needs to be designed at every iteration
- Simple and efficient manner:
  - Size of  $\mathbf{C}_k$  at the  $(k + 1)^{\text{th}}$  iteration is  $M \times N/M^k$  where  $0 < k < k^{\text{stop}}$ ;  $k^{\text{stop}}$  depends on the stopping criterion
  - Each row of  $\mathbf{C}_k$  represents a possible hypothesis described by a region ( $R_j^k$ ) in the ROI
  - For  $j^{\text{th}}$  row, only sensors in  $R_j^k$  have '1' as their elements in the code matrix
- Example  $\mathbf{C}_k$ : 16 sensors into 4 regions

$$\begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$



# NUMERICAL RESULTS

- Exclusion-based scheme: store best 2 regions
- $N=512$  sensors deployed in  $8 \times 8$  grid
- $\alpha$  is the fraction of Byzantines
- $N_{mc} = 1 \times 10^3$  Monte-Carlo runs to evaluate Mean Square Error (MSE)



## OBSERVATIONS

- Performance of the exclusion-based coding scheme is better than the basic coding scheme
- Outperforms the traditional MLE based scheme when  $\alpha \leq 0.375$ .
- Proposed schemes are around 150 times faster than the conventional
- Computation time is very important when the target is moving and a coarse location estimate is needed in a timely manner



## EXTENSIONS

- Considered the effect of non-ideal channels (Vempaty *et al.*, 2014)
  - Suggested the use of soft-decision decoding similar to DCSD
  - Compensate for the loss due to the presence of fading channels between the local sensors and the FC
- Evaluated the performance of the proposed schemes in terms of the Byzantine fault tolerance capability and probability of detection of the target region (Vempaty *et al.*, 2014)
- Presented performance bounds which can be used for system design (Vempaty *et al.*, 2014)



# RELIABLE CROWDSOURCING

- A. Vempaty, L. R. Varshney, and P. K. Varshney, “Reliable Classification by Unreliable Crowds,” in Proc. Int. Conf. Acoust., Speech, Signal Process. (ICASSP2013), Vancouver, Canada, May 2013, pp. 5558–5562.

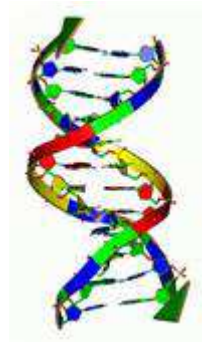


# HUMANS VS MACHINES

- Current machines reduce human work
- But cannot completely replace them!
- Without proper “training”, machines cannot perform inference tasks reliably



Pattern Search



Data Interpretation



# CROWDSOURCING

- Crowd+Sourcing = Crowdsourcing
- New paradigm for human participation in distributed inference tasks

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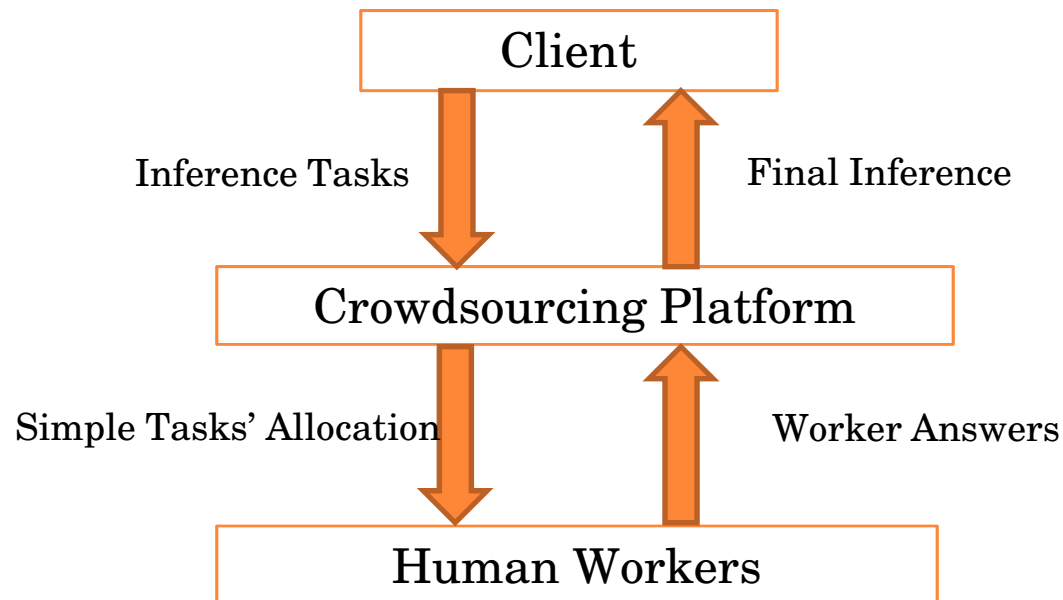
 **CrowdSpirit**

 **logomyway**  
.com



# CROWDSOURCING CHALLENGES

- Key differences from team decision-making:
  - Number of participants involved in crowdsourcing are large
  - Members of the crowd are anonymous and may be unreliable or malicious
  - May not have sufficient domain expertise to perform full classification
- How to get reliable performance? and how to design the questions?



# PROBLEM FORMULATION

- 8 **Focus:** Classification task consisting of  $M$  classes:  
 $H_0, H_1, \dots, H_{M-1}$
- o **Goal:** Design questions for the  $N$  crowd workers to ensure reliable classification
- o Easy for crowds to answer binary questions (Branson *et al.*, 2010)



Dog breed?



Snub or long nose?



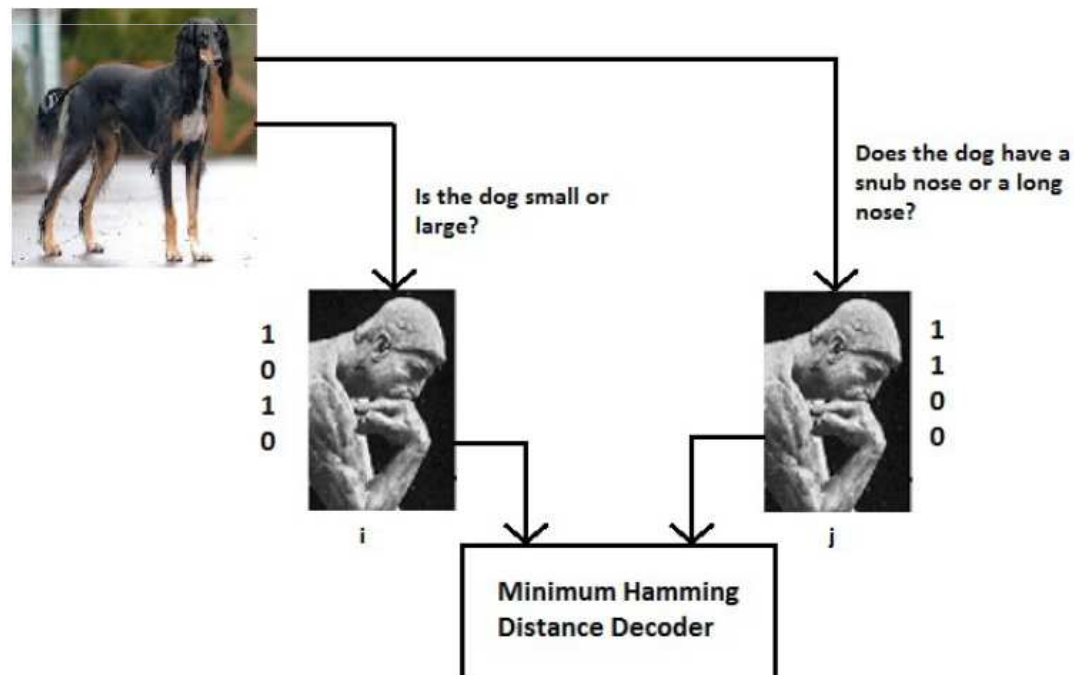
# CODING FOR CROWDSOURCING (VEMPATY ET AL., 2013)

- ③ Design of questions  $\Leftrightarrow$  Design of  $M \times N$  binary code matrix  
 $A = \{c_{li}\}$
- Rows of  $C$  correspond to the different classes
- Column  $c_i$  corresponds to the question to the  $i^{th}$  worker
- Code matrix designed to minimize misclassification probability
- Code design is based on performance evaluation



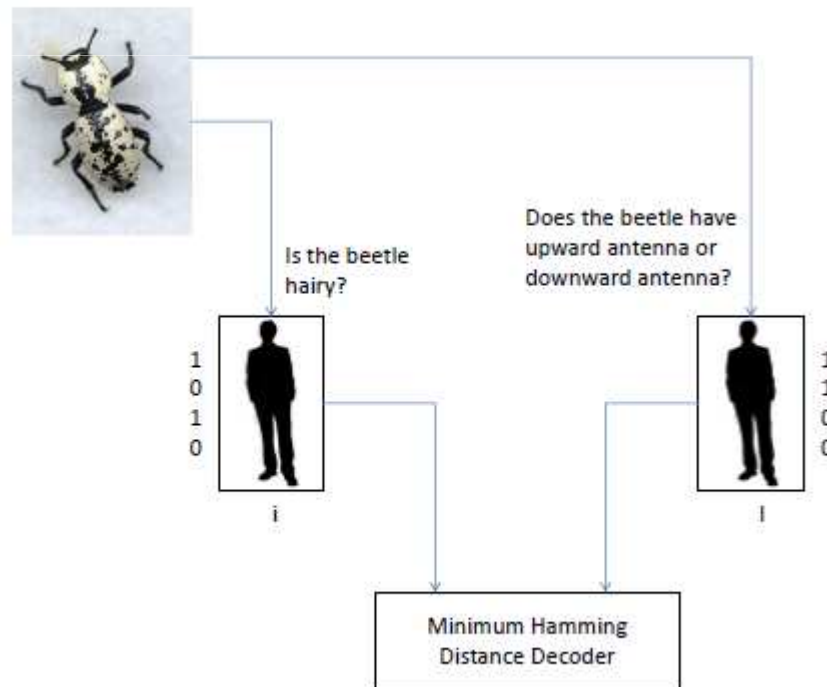
# EXAMPLE 1

- Task: Classification of dog image into one of four breeds: Pekingese, Mastiff, Maltese, or Saluki
- Let the columns corresponding to the  $i^{\text{th}}$  and  $j^{\text{th}}$  workers be  $c_i = [1010]'$  and  $c_j = [1100]'$  respectively



## EXAMPLE 2

- Task: Classification of beetle image into one of four breeds: Drug Store Beetle, Apricot Beetle, Variegated Mud-loving Beetle, or Ironclad Beetle
- Let the columns corresponding to the  $i^{\text{th}}$  and  $j^{\text{th}}$  workers be  $c_i = [1010]'$  and  $c_j = [1100]'$  respectively



## WORKER MODEL

- 8 Worker  $j$  decides the true class (local decision  $y_j$ ) with probability  $p_j$  and the wrong local classification with uniform probability:

$$p(y_j | H_l) = \begin{cases} p_j, & \text{if } y_j = l \\ \frac{1-p_j}{M-1}, & \text{otherwise} \end{cases}$$

- Anonymous crowds, so specific reliability cannot be identified
- Assume that each worker  $j$  in the crowd has an associated reliability  $p_j$
- Reliability modeled as a random variable to capture the workers randomness
- Two i.i.d. crowd models: spammer-hammer and Beta model
  - Spammer-Hammer: spammers have  $p_j = 1/M$  and hammers have  $p_j \rightarrow 1$ 
    - Quality of the crowd,  $Q$ , is governed by the fraction of hammers
  - Beta model:  $p_j$  follows Beta distribution



# CLASSIFICATION PERFORMANCE

- Performance in terms of average error probability for classification
- Compare the coding approach to the traditional majority approach
- Majority voting:
  - $N$  workers are split into  $\log_2 M$  groups with each group sending information regarding a single bit
  - Majority rule to decide each of the  $\log_2 M$  bits separately
- Notations:
  - Reliabilities:  $\mathbf{p} = [p_1, p_2, \dots, p_N]$  i.i.d. random variables with mean  $\mu$
  - Crowdsourcing system:  $(N, M, \mu)$
- Performance improves with increasing value of  $\mu$



# SYSTEM CHARACTERIZATION

- Ordering principle for quality of crowds in terms of the quality of their distributed inference performance

## Theorem 1 [ORDERING OF CROWDS]

Consider crowdsourcing systems involving crowd  $\mathcal{C}(\mu)$  of workers with i.i.d. reliabilities with mean  $\mu$ . Crowd  $\mathcal{C}(\mu)$  performs better than crowd  $\mathcal{C}(\mu')$  for inference if and only if  $\mu > \mu'$ .

- Performance criterion is average error probability; weak criterion of crowd-ordering in the mean sense
- Better crowds yield better performance in terms of average error probability



## SYSTEM CHARACTERIZATION

- For  $N = 10$  workers and  $M = 4$  classes, good code matrix is found by simulated annealing (Wang *et al.* 2005)

$$C_1 = [5, 12, 3, 10, 12, 9, 9, 10, 9, 12]$$

- For larger system,  $N = 15$  workers and  $M = 8$  classes, good code matrix is found by cyclic column replacement (Wang *et al.* 2005)

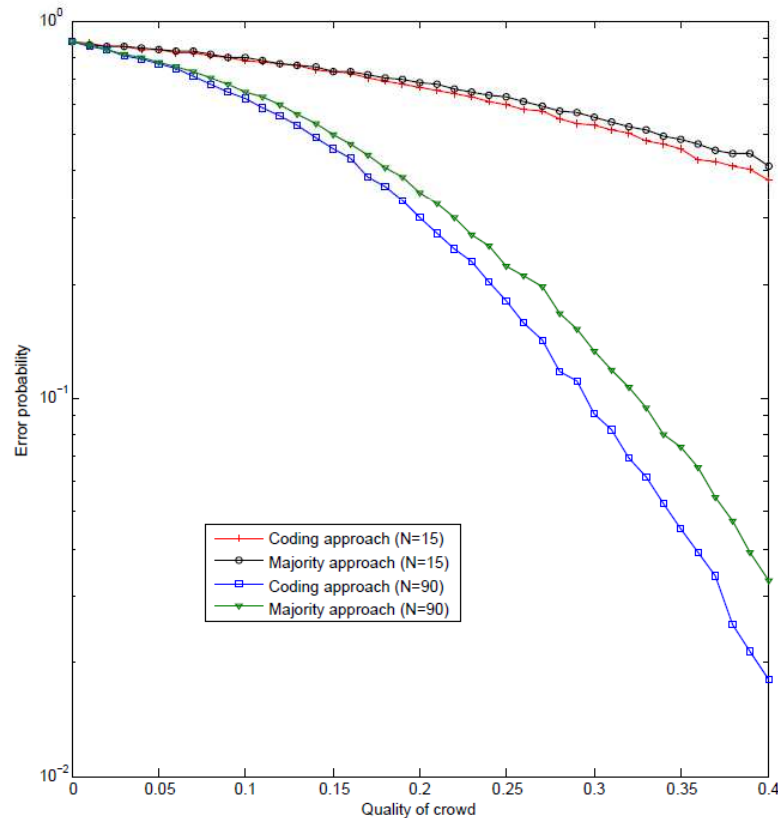
$$C_2 = [150, 150, 90, 240, 240, 153, 102, 204, 204, 204, 170, 170, 170, 170, 170]$$

- For a system consisting of  $N = 90$  workers and  $M = 8$  classes, sub-optimal code matrix by concatenating the columns of  $C_2$ .

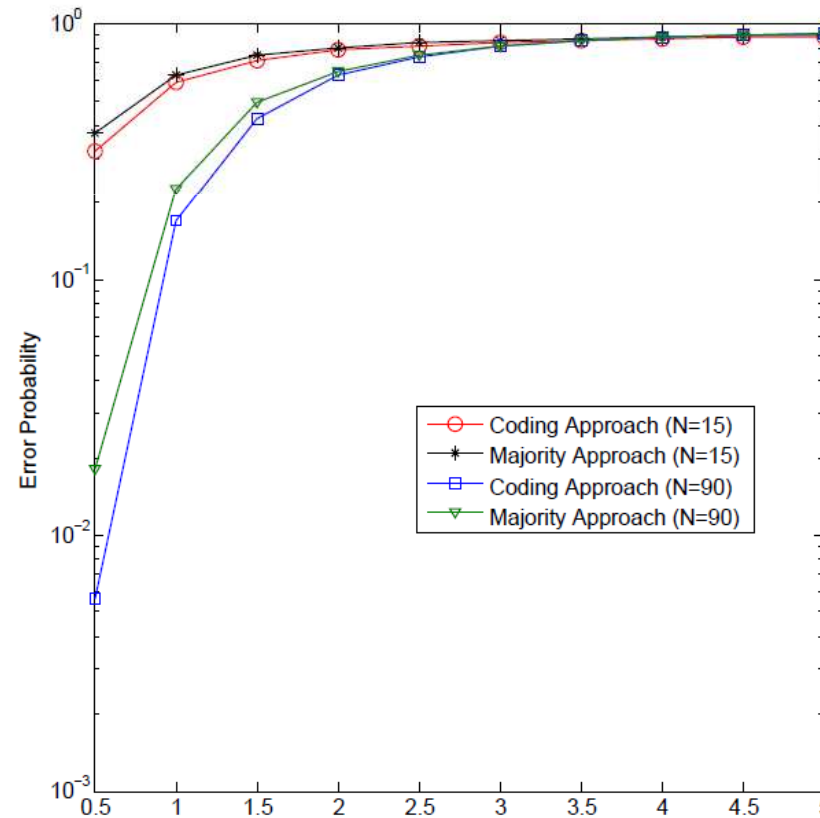


# CODING IS BETTER THAN MAJORITY VOTING

- Gap in performance generally increases for larger system size
- Good codes perform better than majority vote as they diversify the binary questions



Spammer-hammer model, ( $M = 8$ ; varying  $N$ )



Beta( $\alpha = 0.5, \beta$ ) model, ( $M = 8$ ; varying  $N$ )



# EXPERIMENTAL RESULTS

- 8 Tested the proposed coding approach on six publicly available Amazon Mechanical Turk data sets of affective text task<sup>1</sup>
- 100 tasks with  $N = 10$  workers taking part in each of the tasks
- Quantize dataset values by dividing the range into  $M = 8$  equal intervals
- Proposed approach outperforms the majority approach in 4 out of the 6 cases considered

**Fraction of errors using coding and majority approaches**

Dataset	Coding Approach	Majority Approach
Anger	0.31	0.31
Disgust	0.26	0.20
Fear	0.32	0.30
Joy	0.45	0.47
Sadness	0.37	0.39
Surprise	0.59	0.63

<sup>1</sup><http://ai.stanford.edu/~rion/annotations/>



## IMPLICATIONS

- Coding approach can more efficiently use human cognitive energy over traditional majority-vote methods
- Very useful for applications where number of classes are large:
  - Fine-grained image classification for building encyclopedias like Visipedia where one might need to classify among more than 161 breeds of dogs or 10000 species of birds
- Designing easy-to-answer binary questions using the proposed scheme greatly simplifies the workers' tasks



## EXTENSIONS

- Extend to other crowdsourcing models (Vempaty *et al.*, under review):
  - Effect of social aspects of workers such as coordination or competition which result in correlated reliabilities
  - Common sources of information, where the worker observations are dependent
- Can better cognitive and attentional models of human crowd workers provide better insight and design principles?



## CONCLUSION

- Coding theory based techniques can be used to ensure reliable signal processing
- DCFECC can be used in various signal processing applications to handle erroneous data from agents
- Many other applications fit this generalized framework where reliable processing could be ensured by DCFECC
- For example, system consisting of agents who would have some elements of human computation models and some elements of WSN models



QUESTIONS?

